Docket No.: MASIMO.307A

INFORMATION DISCLOSURE STATEMENT

Applicants

P.T. Barnum, et al.

App. No.

: 10/078,920

Filed

February 20, 2002

For

SENSOR BAND FOR ALIGNING AN

EMITTER AND A DETECTOR

Examiner

Unknown

Group Art Unit :

2877

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing four (4) references that are also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: ___ August 12, 2003

By: John M. Grover

Registration No. 42,610 Attorney of Record

Customer No. 20,995

(949) 760-0404

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PATENT

Case Docket No. MASIMO.307A Date: August 12, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandría, VA 22313-1450, on

August 12, 2003

John M. Grover, Reg. No. 42,610

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) an Information Disclosure Statement;
- (X) a PTO Form 1449 with four (4) references; and
- (X) a return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

John M. Grover

Registration No. 42,610 Attorney of Record Customer No. 20,995

(949) 760-0404

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE					ATTY. DOCKET NO. MASIMO.307A		APPLICATION NO. 10/078,920			
		TION DISCLOSURE STATE	EMENT							
BY APPLICANT BY APPLICANT STORE SEVERAL SHEETS IF NECESSARY)					APPLICANT P.T. Barnum, et al.					
					FILING DATE February 20, 2002					
T& TRADEN	<u>*</u>				U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER DATE		NAME	CLASS	SUBCLASS	FILING (IF APPR			
	1	5,999,834	12/1999	Wa	ng, et al.					
	2	5,991,648	11/1999	Lev	in					
	3	5,782,757	07/1998	Diab, et al.						
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)									
	4 PC	PCT International Search Report, App. No.: PCT/US03/05103, App. Date: 02/20/2003, 3 pages.								
										

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EXAMINER	DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.